

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Gabriel Wechter et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: Herewith

Group Art Unit:

Title: Method And System For Managing A Network Of Nodes

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Date: 13 September 2003

Respectfully submitted,

Gabriel Wechter et al.

By Mr. Patrick C. K. an

Patrick C. K. an

Attorney/Agent for Applicant(s)  
Reg. No. 32,858

Date: 09-23-03

I hereby certify that this document is being filed by personal delivery to the Customer Service Window, Crystal Plaza 2, 2011 South Clark Place, Arlington Virginia, of the United States Patent & Trademark Office on the date indicated above.

By: Mr. Patrick C. K. an  
(Attorney Signature and Reg. No.)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200311662

APPLICATION NO.

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Gabriel Wechter et al.

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GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,528,516	06-18-96	Yemini et al.	
	1B	5,661,668	08-26-97	Yemini et al.	
	1C	6,249,755	06-19-01	Yemini et al.	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	S. Kliger et al., "A Coding Approach to Event Correlation", May 1995, pages 1-13.
	1R	A Product of Smarts, "Event Modeling with the MODEL Language: A Tutorial Introduction", 1996, pages 1-19.
	1S	A Product of Smarts, "High Speed & Robust Event Correlation", 1996, pages 1-15.

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	2L					
	2M					
	2N					
	2O					
	2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	Smarts, "How to Meet Scalability Requirements for Managing the World's Most Complex IT Systems", October 2002, pages 1-14.
2R	Smarts, "SMARTS InCharge MPLS IP VPN Manager, September 2002, pages 1-11.
2S	Smarts, "The InCharge Common Information Model", March 2003, pages 1-31.

EXAMINER

DATE CONSIDERED